

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

B/O Form PTO-1390		Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 USC 371		Attorney's Docket Number SCHM3002/JEK	
				U.S. Application Number 10/069116	
International Application Number PCT/EP00/08415		International Filing Date 29 August 2000		Priority Date Claimed 31 August 1999	
Title of Invention SAFETY ELEMENT AND A VALUABLE OBJECT					
Applicant(s) for DO/EO/US Christian SCHMITZ		Assignee			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items under 35 USC 371:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 USC 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
3. ☒ This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed 35 USC 371(c)(2).
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 USC 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 USC 371(c)(4)). (☐ Executed ☒ Unexecuted)
10. ☒ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: 4 sheets formal drawings

Application Number (if Known) 10/069116		International Application Number PCT/EP00/08415		Attorney's Docket Number SCHM3002/JEK	
				Calculations	PTO USE ONLY
17. The following fees are submitted: Basic National Fee (37 CFR 1.492(a)(1)-(5)): <input checked="" type="checkbox"/> Search report has been prepared by the EPO or JPO \$890.00 <input type="checkbox"/> International Preliminary Examination Fee paid to USPTO (37 CFR 1.482) \$710.00 <input type="checkbox"/> No International Preliminary Examination Fee paid to USPTO (37 CFR 1.482) but International Search Fee paid to USPTO (37 CFR 1.445(a)(2)) \$740.00 <input type="checkbox"/> Neither International Preliminary Examination Fee (37 CFR 1.482) nor International Search Fee (37 CFR 1.445(a)(2)) paid to USPTO \$1040.00 <input type="checkbox"/> International Preliminary Examination Fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT				\$ 890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	39 -20 =	19	× \$18.00	\$ 342.00	
Independent Claims	4 -3 =	1	× \$84.00	\$ 84.00	
Multiple Dependent Claims (if applicable)			+ \$280.00		
TOTAL OF ABOVE CALCULATIONS				\$ 1,316.00	
Reduction by ½ for filing by small entity, if applicable. Small Entity Status is asserted pursuant to 37 CFR 1.27 for this application.					
SUBTOTAL				\$ 1,316.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).					
TOTAL NATIONAL FEE				\$ 1,316.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.					
TOTAL FEES ENCLOSED				\$ 1,316.00	
			Amount to be:	Refunded:	
				Charged:	

a. ☒ A check in the amount of \$1,316.00 to cover the fees is enclosed.

b. ☐ Please charge my Deposit Account Number 02-0200 in the amount of \$_____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account Number 02-0200. A duplicate copy of this sheet is enclosed.

Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.



Customer 23364

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DATE: 28 February 2002

Respectfully submitted,

J. Ernest Kellney
Attorney for Applicant
Registration Number: 19,179



Customer No. 23364

EARLY NOTICE OF SERIAL NUMBER REQUESTED

ER REQUESTED

PATENT APPLICATION

BACON & THOMAS

(NEW)

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Attorney Docket Number: SCHM3002/JEK

The PTO stamp hereon acknowledges receipt of an appended new application for ☐ Utility Patent ☐ Design Patent ☐ Provisional

☒ Nat'l. Stage Processing Docs. of Intl. Appln. No. PCT/EP00/08415

Filed 29 August 2000

of (INV.): Christian SCHMITZ

10/069116

For (TITLE): SAFETY ELEMENT AND A VALUABLE OBJECT

INCLUDING: 16 Pgs. of Spec. ☒ Prelim. Amdt. ☒ Check for \$1,316 (\$890-filing fee; \$342-extra claims; \$84-extra ind. Clm)

4 Shts. of Dwgs. (☒ Formal ☐ Informal) ☒ Abstract 39 Claims

☐ Transmittal ☒ Declaration (Unexecuted) ☐ Declaration (From Prior Application)

☐ Assignment ☐ Appendix to Specification ☐ Power of Attorney

☐ Inf. Discl. Stmt. w/refs. ☐ Certified Copy of Priority Doc.

☐ Sm. Entity Stmt(s) — ☐ inv. ☐ sm. bus. ☐ non-inv. ☐ non-profit

☐ Continuation ☐ Divisional ☐ Cont.-in-Part of Prior Appln. No.

☒ PCT National Stage Transmittal Letter With: ☐ Int'l. Appl. (As filed) ☒ Trans.

Trans. of ☐ Amendment (Art. 19) ☒ Annexes (Art. 34)

☐ **Rec'd PCT/PTO 28 FEB 2002**

Filed by : JEK/tmf

Due date: 2/28

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